



# Baseline characteristics predictive of structural and functional progression in open-angle glaucoma patients with different demographic characteristics

Katherine Hutchins<sup>1</sup>, Alon Harris<sup>1</sup>, Alice Chandra Verticchio Vercellin<sup>1,2</sup>, Nicholas Moore<sup>1</sup>, David Camp<sup>1</sup>, George Eckert<sup>3</sup>, Brent Siesky<sup>1</sup>

<sup>1</sup>Department of Ophthalmology, Indiana University School of Medicine, Indianapolis, USA; <sup>2</sup>University Eye Clinic, IRCCS Policlinico San Matteo, Pavia, Italy; <sup>3</sup>Department of Biostatistics, Indiana University School of Medicine, Indianapolis, USA

## Abstract

*Purpose:* The aim of this study was to examine ocular blood flow parameters that may predict structural and functional disease progression in open-angle glaucoma (OAG) patients of different diabetic status, gender, ethnicity, and body mass index (BMI).

*Methods:* One hundred twelve patients with OAG were assessed for systemic blood pressure (BP), ocular perfusion pressure (OPP), retrobulbar blood flow, capillary blood flow, and optic nerve head morphology at baseline and every six months for a five-year period. Structural progression was monitored with optical coherence tomography and Heidelberg retinal tomography-III. Functional disease progression was monitored with automated perimetry using Humphrey visual fields. Factors associated with OAG structural and functional progression were analyzed using Cox proportional hazards models.

*Results:* The following were associated with shorter time to structural progression: In diabetic patients, larger area of avascular space; in males, lower central retinal artery peak systolic velocity and end diastolic velocity; in patients of African

---

**Correspondence:** Alon Harris, MS, FARVO, PhD Director of Clinical Research, Lois Letzer Professor of Ophthalmology, Professor of Cellular and Integrative Physiology, Department of Ophthalmology, Indiana University School of Medicine, 1160 West Michigan Street Indianapolis, IN 46202, USA. Email: [alharris@indiana.edu](mailto:alharris@indiana.edu)

---

descent, higher systolic BP and OPP; in obese patients, lower ophthalmic artery end diastolic velocity. The following were associated with shorter time to functional progression: In diabetic patients, cup area, cup volume, cup/disc area ratio, linear cup/disc ratio, mean cup depth, cup shape; in males, systolic BP, diastolic BP, mean arterial pressure, systolic PP, diastolic PP, OPP, mean PP; in overweight patients, higher ophthalmic artery and central retinal artery resistive indices; in obese patients, lower central retinal artery resistive index.

*Conclusions:* Structural and functional OAG disease progression may be influenced differently in patients based on diabetic status, gender, ethnicity, and BMI. Mathematical modeling of risk variables that takes into account demographic characteristics may assist in better identifying OAG progression risk.

**Key words:** body mass index, demographic, diabetes, ethnicity, gender, glaucoma, blood flow

## 1. Introduction

Open-angle glaucoma (OAG) is a multifactorial optic neuropathy that remains the second leading cause of blindness worldwide.<sup>1</sup> Elevated intraocular pressure (IOP) is recognized as a main risk factor for OAG progression and is the primary modifiable risk factor focused on for management.<sup>2</sup> However, despite aggressive treatment, a high percentage of patients with normal IOP continue to experience visual field loss.<sup>3</sup> Over the past few decades, studies have investigated other risk factors for OAG that may contribute to disease progression. Additional risk factors identified include exfoliation, bilateral disease, advanced age, disc hemorrhages, thinner central corneas, lower systolic perfusion pressure, lower systolic blood pressure (BP), cardiovascular disease, history of migraine, female gender, vertical and horizontal cup-disc ratios, and pattern standard deviation, all of which have been linked to early predictors for the development of glaucoma.<sup>3-6</sup> Furthermore, findings indicate that ocular blood flow may contribute to OAG progression, but the exact nature of the relationship remains elusive.<sup>7</sup> In addition, African descent is a known risk factor for the development and progression of OAG, and more than six times as many people of African descent develop OAG.<sup>8,9</sup> The purpose of this analysis was to examine the relationship between baseline measurements that may predict structural and functional disease progression in OAG patients of different diabetic status, gender, ethnicity, and body mass index (BMI).

## 2. Methods

One hundred twelve patients with OAG were assessed for systemic BP, ocular

perfusion pressure (OPP), retrobulbar blood flow as measured by color Doppler imaging, capillary blood flow as measured by Heidelberg retinal flowmetry, and optic nerve head morphology as measured by Heidelberg retinal tomography III (HRT III) and optical coherence tomography (OCT) at baseline and every six months for a five-year period. The following subgroups were considered: Diabetic status, gender, ethnicity (African descent and European descent), and BMI (normal weight: BMI < 25, overweight: BMI 25 to 30, obese: BMI > 30). Structural progression was monitored with OCT and HRT III and was defined as two consecutive visits with retinal nerve fiber layer thickness decrease  $\geq 8\%$  and/or horizontal or vertical cup/disc ratio increase  $\geq 0.2$  compared to baseline. Functional disease progression was monitored with 24-2 Swedish interactive thresholding algorithm visual field exam using Humphrey automated perimetry and was defined as two consecutive visits with mean deviation decrease  $\geq 2$  and/or advanced glaucoma intervention study increase  $\geq 2$  compared to baseline. Analysis of covariance (ANCOVA) was used to test for statistical difference between groups from baseline to five-year follow-up. Time to structural and functional progression was analyzed using Cox proportional hazards models.

### 3. Results

In patients with diabetes mellitus (DM), a higher number of superior zero pixels (indicating increasing avascular area) was associated with shorter time to structural progression ( $p = 0.0352$ ) (Table 1). The baseline optic nerve head parameters were associated with shorter time to functional progression in diabetic patients

*Table 1.* A summary of the factors associated with shorter time to structural progression from each demographic.

<b>Factors in DM patients</b>	
Increased superior zero pixels	$p = 0.0352$
<b>Factors in male patients</b>	
Lower CRA peak systolic velocity	$p = 0.0113$
Lower CRA end diastolic velocity	$p = 0.0020$
<b>Factors in ED patients</b>	
Higher systolic blood pressure	$p = 0.0217$
Higher systolic perfusion pressure	$p = 0.0306$
<b>Factors in obese patients</b>	
Lower OA end diastolic velocity	$p = 0.0289$

CRA: central retinal artery; OA: ophthalmic artery

compared to patients without DM (cup area:  $p = 0.0254$ ; cup volume:  $p = 0.0089$ ; cup/disc area ratio:  $p = 0.0382$ ; linear cup/disc ratio  $p = 0.0437$ ; mean cup depth:  $p = 0.0013$ ; cup shape:  $p = 0.0160$ ) (Table 2).

The following factors in males were associated with shorter time to structural progression compared to females: Lower central retinal artery (CRA) peak systolic velocity (PSV) ( $p = 0.0113$ ) and lower CRA end diastolic velocity (EDV) ( $p = 0.0020$ ) (Table 1). In males only, higher systemic BP and OPP were associated with shorter time to functional progression, leading to a significant gender difference (systolic BP:  $p = 0.0178$ ; diastolic BP:  $p = 0.0230$ ; mean arterial pressure:  $p = 0.0156$ ; systolic PP:  $p = 0.0060$ ; diastolic PP:  $p = 0.0066$ ; OPP:  $p = 0.0061$ ; mean PP:  $p = 0.0035$ ) (Table 2).

The following were associated with shorter time to structural progression in patients of European descent as compared to African descent: Higher systolic BP ( $p = 0.0217$ ) and higher systolic PP ( $p = 0.0306$ ) (Table 1). No significant associations were found regarding the influence of ethnicity on functional disease progression.

A lower ophthalmic artery (OA) end diastolic velocity (EDV) was associated with shorter time to structural progression in obese patients ( $p = 0.0289$ ) (Table 1). This was not observed in the cohorts of normal weight or overweight patients. In addition, higher ophthalmic artery (OA) resistivity index (RI) and central retinal artery (CRA) RI were predictive of functional progression in overweight patients (OA RI:  $p = 0.0483$ ; CRA RI:  $p = 0.0148$ ), but lower CRA RI was predictive of functional progression in obese patients (CRA RI:  $p = 0.0439$ ). Baseline inferior mean capillary blood flow was associated with shorter time to functional progression in obese patients, leading to a significant difference between groups ( $p = 0.0317$ ) (Table 2).

## 4. Discussion

### 4.1. Diabetes

The role of diabetes in glaucoma currently remains unclear. Some studies have established a positive relationship between the presence of diabetes and glaucoma progression.<sup>10,11</sup> Alternatively, others found no evidence or show evidence of a relationship between DM and IOP rather than DM and glaucoma.<sup>12-15</sup> Previous findings reported in the Indianapolis Glaucoma Progression Study found changes in retinal capillary blood flow to be correlated with optic nerve head changes in DM patients<sup>16</sup> and that DM patients showed impaired vascular regulation.<sup>17</sup> Our data demonstrated a shorter time to both structural and functional progression in OAG patients with DM based on certain optic nerve head and retinal capillary blood flow findings measured at baseline.

### 4.2. Gender

Discrepancies exist regarding the influence of gender on glaucoma progression as

Table 2. A summary of the factors associated with shorter time to functional progression from each demographic.

<b>Factors in DM patients</b>	
Cup area	p = 0.0254
Cup volume	p = 0.0089
Cup/disc area ratio	p = 0.0382
Linear cup/disc ratio	p = 0.0437
Mean cup depth	p = 0.0013
Cup shape	p = 0.0160
<b>Factors in males</b>	
Systolic blood pressure	p = 0.0178
Diastolic blood pressure	p = 0.0230
Mean arterial pressure	p = 0.0156
Systolic perfusion pressure	p = 0.0060
Diastolic perfusion pressure	p = 0.0066
Ocular perfusion pressure	p = 0.0061
Mean perfusion pressure	p = 0.0035
<b>Factors in overweight patients</b>	
Higher OA resistive index	p = 0.0483
Higher CRA resistive index	p = 0.0148
<b>Factors in obese patients</b>	
Lower CRA resistive index	p = 0.0439
Baseline inferior mean capillary blood flow	p = 0.0317

OA: ophthalmic artery; CRA: central retinal artery

well.<sup>18,19</sup> The Indianapolis Glaucoma Progression Study previously showed a positive association between retinal microcirculation and OPP in females but a negative association between these two factors in males.<sup>20</sup> The current study revealed that in males, lower retrobulbar blood flow velocity and higher systemic BP and OPP correlated with shorter time to structural and functional disease progression. These findings suggest that vascular involvement may be more strongly implicated in male patients in terms of risk for experiencing functional vision loss.

#### 4.3. Ethnicity

OAG disproportionately affects individuals of African descent compared with

persons of European descent. Ocular structural differences have been found between patients of African and European descent, and systemic vascular diseases such as hypertension, cardiovascular disease, stroke, and DM also disproportionately affect individuals of African descent.<sup>21,22</sup> The Indianapolis Glaucoma Progression Study previously demonstrated that in persons of African descent, changes in retrobulbar blood flow velocities and vascular resistivity indices were correlated to retinal nerve fiber layer thickness.<sup>23</sup> Changes in retinal blood flow were correlated with glaucomatous morphological changes in optic nerve head in patients of African descent.<sup>24</sup> The present study found that higher systolic BP and OPP were associated with shorter time to structural progression in patients of European descent, while no significant differences were found between patients of African and European descent regarding functional disease progression.

#### **4.4. Body Mass Index**

The majority of studies have shown a positive association between increased BMI and glaucoma risk.<sup>25-30</sup> However, one study indicated that cerebral spinal fluid pressure showed a positive linear relationship with BMI, suggesting that higher BMI could reduce glaucoma risk.<sup>31</sup> The Singapore Malay Eye Study found that decreased BMI was associated with decreased optic rim area and increased cup/disc ratio, suggesting an inverse relationship.<sup>32</sup> Results from the current study revealed that in obese patients, lower OA EDV and lower CRA RI were associated with shorter time to structural and functional progression, respectively. In overweight patients, increased OA RI and CRA RI were predictive of functional progression, suggesting a stronger vascular influence in patients with higher BMI.

## **5. Conclusion**

This study demonstrated that structural and functional disease progression may be influenced by differing demographic factors. Important considerations may include diabetic status, gender, ethnicity, and BMI. These findings suggest the establishment of mathematical modeling to allow for inclusion of demographic characteristics may increase specificity of risk assessment. Such models have previously been used to describe mechanical responses to changes in glaucoma risk factors such as IOP, scleral tension, and cerebral spinal fluid pressure.<sup>33</sup> Current models also aim to determine the methods by which ocular blood flow is regulated and the relative importance of these mechanisms.<sup>33</sup> Incorporating demographic differences may provide a more complete understanding of glaucoma progression and allow a more individualized, evidence based approach to disease management.

**Contributors:** All authors made a substantial contribution to the study design and acquisition and interpretation of the data. Each author participated in drafting or

revising the manuscript and approved submission of this version for publication.

**Funding:** Supported by NIH grant (NIH 1R21EY022101-01A1), American Diabetes Association grant 1-12-IN-20, and an unrestricted grant from Research to Prevent Blindness, Inc. (New York, NY). The funding party did not have any role in the study design, collection of data, analysis of data, writing of the manuscript, or decision to submit the manuscript.

## Acknowledgments

Dr. Alon Harris would like to disclose that he receives remuneration from Stemnion, Biolight, Nano Retina, AdOM, Science Based Health, Isarna Therapeutics, and Ono Pharmaceuticals for serving as a consultant. Dr. Harris also holds an ownership interest in AdOM, Nano Retina, and Oxymap. All relationships listed above are pursuant to Indiana University's policy on outside activities. None of the other authors listed have any financial disclosures. There are no conflicts of interest to report.

## References

1. Cook C, Foster P. Epidemiology of glaucoma: What's new? *Can J Ophthalmol* 2012;47(3):223-226.
2. Grewe R. The history of glaucoma. *Klin Monbl Augenheilkd* 1986;188(2):167-169.
3. Leske CM, Heijl A, Hyman L, et al. Predictors of long-term progression in the early manifest glaucoma trial. *Ophthalmology* 2007;114(11):1965-1972.
4. Suzuki Y, Shirato S, Adachi M, Hamada C. Risk factors for the progression of treated primary open-angle glaucoma: a multivariate life-table analysis. *Graefes Arch Clin Exp Ophthalmol* 1999;237(6):463-467.
5. Drance S, Anderson DR, Schulzer M, Collaborative Normal-Tension Glaucoma Study G. Risk factors for progression of visual field abnormalities in normal-tension glaucoma. *Am J Ophthalmol* 2001;131(6):699-708.
6. Gordon MO, Beiser JA, Brandt JD, et al. The Ocular Hypertension Treatment Study: baseline factors that predict the onset of primary open-angle glaucoma. *Arch Ophthalmol* 2002;120(6):714-720.
7. Harris A, Siesky B, Wirostko B. Cerebral blood flow in glaucoma patients. *J Glaucoma* 2013;22(5):S46-S48.
8. Friedman DS, Wolfs RC, O'Colmain BJ, et al. Prevalence of open-angle glaucoma among adults in the United States. *Arch Ophthalmol* 2004;122:532-538.
9. Hyman L, Wu SY, Connell AM, et al. Prevalence and causes of visual impairment in the Barbados Eye Study. *Ophthalmology* 2001;108:1751-1756.
10. Mitchell P, Smith W, Chey T, Healey PR. Open-angle glaucoma and diabetes: the Blue Mountains eye study, Australia. *Ophthalmology* 1997;104:712-718.
11. Chopra V, Varma R, Francis BA, Wu J, Torres M, Azen SP. Type 2 diabetes mellitus and the risk of open-angle glaucoma: the Los Angeles Latino Eye Study. *Ophthalmology* 2008;115:227-232.
12. Tielsch JM, Katz J, Quigley HA, Javitt JC, Sommer A. Diabetes, intraocular pressure, and primary open-angle glaucoma in the Baltimore Eye Survey. *Ophthalmology* 1995;102:48-53.

13. De Voogd S, Ikram MK, Wolfs RC, et al. Is diabetes mellitus a risk factor for open-angle glaucoma? The Rotterdam Study. *Ophthalmology* 2006;113(10):1827-1831.
14. Xu L, Xie XW, Wang YX, Jonas JB. Ocular and systemic factors associated with diabetes mellitus in the adult population in rural and urban China. The Beijing Eye Study. *Eye (Lond)* 2009;23(3):676-682.
15. Tan GS, Wong TY, Fong CW, Aung T. Diabetes, metabolic abnormalities, and glaucoma. Singapore Malay Eye Study. *Arch Ophthalmol* 2009;127(10):1354-1361.
16. Lee H, Harris A, Siesky B, et al. The influence of retinal blood flow on open-angle glaucoma in patients with and without diabetes. *Eur J Ophthalmol* 2014;24(4):542-549.
17. Shoshani Y, Harris A, Shoja MM, et al. Impaired ocular blood flow regulation in patients with open-angle glaucoma and diabetes. *Clin Experiment Ophthalmol* 2012;40(7):697-705.
18. Yanagida K, Iwase T, Yamamoto K, et al. Sex-related differences in ocular blood flow of healthy subjects using laser speckle flowgraphy. *Invest Ophthalmol Vis Sci* 2015;56(8):4880-4890.
19. Marianovic I, Marianovic M, Gvozdenovic R, Risovic D. Retrobulbar hemodynamic parameters in men and women with open-angle glaucoma. *Vojnosanit Pregl* 2014;71(12):1128-1131.
20. Tobe LA, Harris A, Trinidad J, et al. Should men and women be managed differently in glaucoma? *Ophthalmol Ther* 2012;1(1):1.
21. Huck A, Harris A, Siesky B, et al. Vascular considerations in glaucoma patients of African and European descent. *Acta Ophthalmol* 2014;92(5):e336-e340.
22. Girkin CA, Sample PA, Liebmann JM, et al. African Descent and Glaucoma Evaluation Study (ADAGES): II. Ancestry differences in optic disc, retinal nerve fiber layer, and macular structure in healthy subjects. *Arch Ophthalmol* 2010;128(5):541-550.
23. Schroeder A, Harris A, Siesky BA, et al. Retinal nerve fiber layer thickness is correlated to retrobulbar blood flow in glaucoma patients of African descent. Annual Meeting of the Association for Research in Vision and Ophthalmology; 2013. Program 442, Abstract D0182.
24. Tobe LA, Harris A, Siesky BA, et al. Changes in retinal blood flow are strongly correlated to changes in optic nerve head morphology in patients of African descent. Annual Meeting of the Association for Research in Vision and Ophthalmology; 2013. Program 4443, Abstract D0183.
25. Ngo S, Harris A, Siesky BA, et al. Blood pressure, ocular perfusion pressure, and body mass index in glaucoma patients. *Eur J Ophthalmol* 2013;23(5):664-669.
26. Geloneck MM, Crowell EL, Wilson EB, et al. Correlation between intraocular pressure and body mass index in the seated and supine positions. *J Glaucoma* 2015;24(2):130-134.
27. Wang YX, Xu L, Zhang XH, et al. Five-year change in intraocular pressure associated with changes in arterial blood pressure and body mass index. The Beijing eye study. *PLoS One* 2013;8(10):e77180.
28. Karadag R, Arslanyilmaz Z, Aydin B, Hepsen IF. Effects of body mass index on intraocular pressure and ocular pulse amplitude. *Int J Ophthalmol* 2012;5(5):605-608.
29. Wagnanski-Jaffe T, Bieran I, Tekes-Manova D, et al. Metabolic syndrome: a risk factor for high intraocular pressure in the Israeli population. *Int J Ophthalmol* 2015;8(2):403-406.
30. Yoshida M, Ishikawa M, Karita K, et al. Association of blood pressure and body mass index with intraocular pressure in middle-aged and older Japanese residents: a cross-sectional and longitudinal study. *Acta Med Okayama* 2014;68(1):27-34.
31. Berdahl JP, Fleischman D, Zaydharova J, et al. Body Mass Index Has a Linear Relationship with Cerebrospinal Fluid Pressure. *Invest Ophthalmol Vis Sci* 2012;53(3):1422-1427.
32. Zheng Y, Cheung CY, Wong TY, Mitchell P, Aung T. Influence of height, weight, and body mass index on optic disc parameters. *Invest Ophthalmol Vis Sci* 2010;51(6):2998-3002.
33. Harris A, Guidoboni G, Arciero JC, et al. Ocular hemodynamics and glaucoma: the role of mathematical modeling. *Eur J Ophthalmol* 2013;23(2):139-146.